



DECLARATION FOR PATENT APPLICATION (Includes Reference to PCT International Applications)	ATTORNEY'S DOCKET NUMBER 226272002221		
<p>As below named inventors we hereby declare that:</p> <p>Our residence, post office addresss and citizenship are as stated below next to our names,</p> <p>We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:</p> <p style="text-align: center;">STABLE LIPID-COMPRISING DRUG DELIVERY COMPLEXES AND METHODS FOR THEIR PRODUCTION</p> <p>the specification of which (check only one item below):</p> <p><input type="checkbox"/> is attached hereto.</p> <p><input checked="" type="checkbox"/> was filed as United States application</p> <p style="margin-left: 40px;">Serial No. 08/939,874, on September 29, 1997.</p> <p><input type="checkbox"/> was filed as PCT international application</p> <p style="margin-left: 40px;">Number on , and was amended under PCT Article 19 on (if applicable).</p> <p>We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.</p> <p>We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37 Code of Federal Regulations § 1.56(a) and (b).</p> <p>We hereby claim foreign priority benefits under Title 35 United States Code § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:</p>			
PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119:			
COUNTRY (if PCT indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. § 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

Declaration for Patent Application (Continued) (Includes Reference to PCT International Applications)				ATTORNEY'S DOCKET NUMBER 226272002221	
We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, 1 acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:					
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. § 120:					
U.S. APPLICATIONS				STATUS (Check one)	
U S APPLICATION NUMBER	U S FILING DATE		PATENTED	PENDING	ABANDONED
08/376,701	23 January 1995			X	
08/751,888	18 November 1996				X
PCT APPLICATIONS DESIGNATING THE U.S.				STATUS (Check one)	
PCT APPLICATION NUMBER	PCT FILING DATE	U S SERIAL NUMBERS ASSIGNED (if any)	PATENTED	PENDING	ABANDONED
Send correspondence to: Tyler M. Dylan Morrison & Foerster LLP 755 Page Mill Road Palo Alto, California 94304-1018			Direct telephone calls to: Tyler M. Dylan at (415) 813-5649		
201	FULL NAME OF INVENTOR	FAMILY NAME Huang	FIRST GIVEN NAME Leaf	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY Wexford	STATE OR FOREIGN COUNTRY PA	COUNTRY OF CITIZENSHIP USA	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 2468 Matterhorn Drive	CITY Wexford	STATE & ZIP CODE/COUNTRY PA 15090/ USA	
202	FULL NAME OF INVENTOR	FAMILY NAME Gao	FIRST GIVEN NAME Xiang	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY Nashville	STATE OR FOREIGN COUNTRY TN	COUNTRY OF CITIZENSHIP China	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 21 Vaughn's Gap, #A-20	CITY Nashville	STATE & ZIP CODE/COUNTRY TN 37205/ USA	
203	FULL NAME OF INVENTOR	FAMILY NAME Sorgi	FIRST GIVEN NAME Frank	SECOND GIVEN NAME L.	
	RESIDENCE & CITIZENSHIP	CITY Sonoma	STATE OR FOREIGN COUNTRY CA	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 1215 Pickett St.	CITY Sonoma	STATE & ZIP CODE/COUNTRY CA 95476/ USA	
204	FULL NAME OF INVENTOR	FAMILY NAME Paul	FIRST GIVEN NAME Ralph	SECOND GIVEN NAME Wilfred	
	RESIDENCE & CITIZENSHIP	CITY Seattle	STATE OR FOREIGN COUNTRY WA	COUNTRY OF CITIZENSHIP Canada	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 3245 27th Ave. W.	CITY Seattle	STATE & ZIP CODE/COUNTRY WA 98199/ USA	
205	FULL NAME OF INVENTOR	FAMILY NAME Sloane	FIRST GIVEN NAME David	SECOND GIVEN NAME L.	
	RESIDENCE & CITIZENSHIP	CITY Bainbridge Island	STATE OR FOREIGN COUNTRY WA	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 9282 Fletcher Bay N.E.	CITY Bainbridge Island	STATE & ZIP CODE/COUNTRY 98110	
206	FULL NAME OF INVENTOR	FAMILY NAME Loomis	FIRST GIVEN NAME Aaron	SECOND GIVEN NAME Garth	
	RESIDENCE & CITIZENSHIP	CITY Seattle	STATE OR FOREIGN COUNTRY WA	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 12544 37th Ave. N.E.	CITY Seattle	STATE & ZIP CODE/COUNTRY WA 98125/ USA	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.					
SIGNATURE OF INVENTOR 201 (Huang)		SIGNATURE OF INVENTOR 202 (Gao)		SIGNATURE OF INVENTOR 203 (Sorgi)	
DATE 5/4/98		DATE		DATE	
SIGNATURE OF INVENTOR 204 (Paul)		SIGNATURE OF INVENTOR 205 (Sloane)		SIGNATURE OF INVENTOR 206 (Loomis)	
DATE		DATE		DATE	

Declaration for Patent Application (Continued) (Includes Reference to PCT International Applications)				ATTORNEY'S DOCKET NUMBER 226272002221	
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DATE		DATE 5-4-98		DATE	
SIGNATURE OF INVENTOR 204 (Paul)		SIGNATURE OF INVENTOR 205 (Sloane)		SIGNATURE OF INVENTOR 206 (Loomis)	
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SIGNATURE OF INVENTOR 201 (Huang)		SIGNATURE OF INVENTOR 202 (Gao)		SIGNATURE OF INVENTOR 203 (Sorgi) <i>Frank L. Sorgi</i>	
DATE		DATE		DATE 5/4/98	
SIGNATURE OF INVENTOR 204 (Paul)		SIGNATURE OF INVENTOR 205 (Sloane)		SIGNATURE OF INVENTOR 206 (Loomis)	
DATE		DATE		DATE	

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As below named inventors we hereby declare that:

Our residence, post office addresss and citizenship are as stated below next to our names,

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

STABLE LIPID-COMPRISING DRUG DELIVERY COMPLEXES AND METHODS FOR THEIR PRODUCTION

the specification of which (check only one item below):

- ☐ is attached hereto.
- ☒ was filed as United States application
Serial No. 08/939,874,
on September 29, 1997.
- ☐ was filed as PCT international application
Number
on ,
and was amended under PCT Article 19
on (if applicable).

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

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COUNTRY (if PCT indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. § 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
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			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
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	RESIDENCE & CITIZENSHIP	CITY Nashville	STATE OR FOREIGN COUNTRY TN	COUNTRY OF CITIZENSHIP China	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 6501 Harding Rd., Apt. M61	CITY Nashville	STATE & ZIP CODE/COUNTRY TN 37205/ USA	
203	FULL NAME OF INVENTOR	FAMILY NAME Sorgi	FIRST GIVEN NAME Frank	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY Pittsburgh	STATE OR FOREIGN COUNTRY PA	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 2640 Middletown Road	CITY Pittsburgh	STATE & ZIP CODE/COUNTRY PA 15205/ USA	
204	FULL NAME OF INVENTOR	FAMILY NAME Paul	FIRST GIVEN NAME Ralph	SECOND GIVEN NAME	
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	POST OFFICE ADDRESS	POST OFFICE ADDRESS 9282 Fletcher Bay N.E.	CITY Bainbridge Island	STATE & ZIP CODE/COUNTRY 98110	
206	FULL NAME OF INVENTOR	FAMILY NAME Loomis	FIRST GIVEN NAME Aaron	SECOND GIVEN NAME	
	RESIDENCE & CITIZENSHIP	CITY Seattle	STATE OR FOREIGN COUNTRY WA	COUNTRY OF CITIZENSHIP United States	
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DATE		DATE		DATE	
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DATE		DATE		DATE	
Apr. 14, 98		14 Apr. 1998		April 14, 1998	